

SEP 25 2006

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/561,736
	Filing Date	December 20, 2005
	First Named Inventor	Gerhard Vogelbacher
	Art Unit	1722
	Examiner Name	
Total Number of Pages in This Submission	6+	Attorney Docket Number WBA05302

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - SEE Remarks.
Remarks - Request for Correction of Patent Application Publication - Marked up copy of published application - Copy of cover page of PCT Publication WO 2004/113051 - Copy of executed Declaration		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Alleman Hall McCoy Russell & Tuttle LLP		
Signature			
Printed name	M. Matthews Hall		
Date	September 25, 2006	Reg. No.	43,653

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Leigha LaFleur	Date September 25, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Applicants believe that no fee is required in connection with this request. However, if it is determined that a fee should be charged, the director is hereby authorized charge Deposit Account No. 5033397. A duplicate copy of this sheet is enclosed.

Please contact the undersigned if there are any questions in regard to this request.

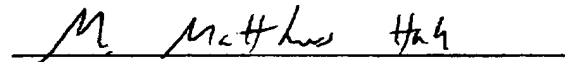
CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, via facsimile number (571) 273-8300, on September 25, 2006.


Leigha LaFleur

Respectfully submitted,

ALLEMAN HALL MCCOY RUSSELL &
TUTTLE LLP


M. Matthews Hall
Registration No. 43,653
Customer No. 50488
Attorney/Agent for Applicant(s)/Assignee
806 S.W. Broadway, Suite 600
Portland, Oregon 97205
Telephone: (503) 459-4141
Facsimile: (503) 459-4142

COPY**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Date: September 25, 2006

Gerhard Vogelbacher et al.

Group Art Unit: 1722

Serial No.: 10/561,736

Examiner:

Filed: December 20, 2005

Attorney Ref. WBA05302

For: EXTRUSION DIE HAVING AT LEAST ONE FLEXIBLE LIP ELEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

REQUEST FOR CORRECTION OF PATENT APPLICATION PUBLICATION

Pursuant to CFR 1.221(b), a request is made to correct material errors in the above-identified published patent application. The material errors in the published application are as thus: (1) the name of the first named inventor should read "VOGELBACHER, GERHARD" instead of "VEGELBACHER, GERHARD"; (2) the title of the application should read "EXTRUSION DIE HAVING AT LEAST ONE FLEXIBLE LIP ELEMENT" instead of "EXTRUSION DIE HAVING AT LEAST FLEXIBLE LIP ELEMENT"; and (3) the city of residence for inventor Pham, Thinh Van should read "KONSTANZ, DE" instead of "KONZTANZ, DE".

This request meets the conditions of CFR 1.221(b) in that it is filed within two months of the date of the patent application publication, and that the material errors in the published patent application are the Office's material error. These errors are material in that with each of these errors, the published application would be difficult to search for because key information is incorrect or missing.

Support for this request can be found in the marked up copy of the relevant page of the publication, in the specification as filed on the cover page of PCT Publication No. WO 2004/113051, to which this application claims priority, and in the executed declaration. For ease of reference, copies of the support documents are attached.

Applicants believe that no fee is required in connection with this request. However, if it is determined that a fee should be charged, the director is hereby authorized charge Deposit Account No. 5033397. A duplicate copy of this sheet is enclosed.

Please contact the undersigned if there are any questions in regard to this request.


CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, via facsimile number (571) 273-8300, on September 25, 2006.


Leigha LaFleur

Respectfully submitted,

ALLEMAN HALL MCCOY RUSSELL &
TUTTLE LLP


M. Matthews Hall
Registration No. 43,653
Customer No. 50488
Attorney/Agent for Applicant(s)/Assignee
806 S.W. Broadway, Suite 600
Portland, Oregon 97205
Telephone: (503) 459-4141
Facsimile: (503) 459-4142



US 20060165835A1

(19) United States

(12) Patent Application Publication
Veglbacher et al.

(10) Pub. No.: US 2006/0165835 A1

(43) Pub. Date: Jul. 27, 2006

(54) EXTRUSION DIE HAVING AT LEAST ^{ONE}
FLEXIBLE LIP ELEMENT

(30) Foreign Application Priority Data

Jun. 20, 2003 (DE) 103 28 104.5

(76) Inventors: Gerhard ~~Veglbacher~~ ^{VOGLBACHER} Singen (DE);
Hubertus Barthel, Volkertshausen
(DE); Thinh Van Pham, ~~Konstanz~~ ^{KONSTANZ}
(DE)

Publication Classification

(51) Int. Cl.
B29C 47/12 (2006.01)

(52) U.S. Cl. 425/380; 425/465

Correspondence Address:
ALLEMAN HALL MCCOY RUSSELL &
TUTTLE LLP
806 SW BROADWAY
SUITE 600
PORTLAND, OR 97205-3335 (US)

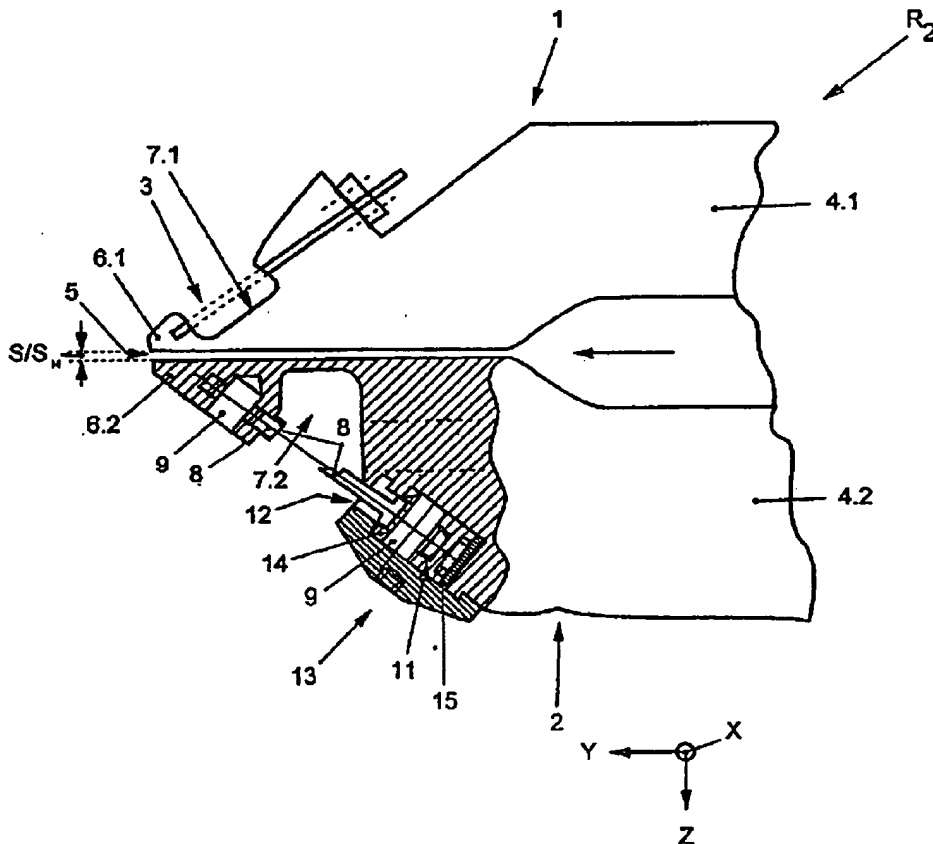
(57) ABSTRACT

The invention relates to an extrusion die having at least one flexible lip element (1, 2) for discharging extruded material from a gap (S) the flow cross-section of which can be modified. The invention is characterized in that at least one flexible lip element (2) can be displaced relative to the other lip element (1) by means of a plurality of jointly actuated lever elements (8).

(21) Appl. No.: 10/561,736

(22) PCT Filed: Mar. 18, 2004

(86) PCT No.: PCT/EP04/02782



**(12) INTERNATIONAL APPLICATION PUBLISHED IN ACCORDANCE WITH THE
PATENT CO-OPERATION TREATY (PCT)**

(19) World Intellectual Property Organization

International Office

[WIPO
logo]

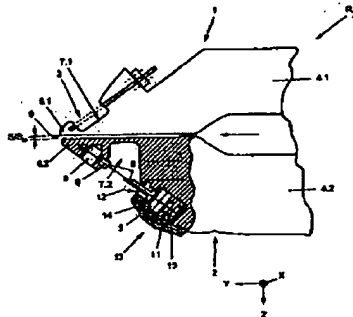
[bar code]

(43) Date of International Publication:
December 29, 2004 (12/29/2004)

PCT

(10) International Publication Number:
WO 2004/113051 A1**(51) International Patent Classification⁷:** B29C 47/16**(21) International Appl. No.:** PCT/EP2004/002782**(22) Filed:** March 18, 2004 (3/18/2004)**(25) Language submitted in:** German**(26) Language published in:** German**(30) Priority Data**
103 28 104.5 June 20, 2003. (6/20/2003) DE**(71) Applicants (for all designated states except the US):**
BREYER GMBH MASCHINENFABRIK [DE/DE];
Gewerbestrasse 1, 78224 Singen (DE)**(72) Inventors; and****(75) Inventors/Applicants (only for US):**
VOGELBACHER, Gerhard [DE/DE]; 75, Am
Postalterswäldle, 78224 Singen (DE). **BARTHEL,**
Hubertus [DE/DE]; 18, Kastanienweg, 78269
Volkertshausen (DE). **PHAM, Van, Thinh [DE/DE]; 19,**
Bleicherweg, 78463 Konstanz (DE).**(74) Agent: WEISS, Peter; 4, Zeppelinstrasse, 78234 Engen**
(DE).**(81) Designated States (insofar as others are not indicated for all available types of regional protection):**
AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.**(84) Designated States (insofar as others are not indicated for all available types of regional protection):**
ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).**Published:**

— With an international research report

*For explanation of the two-letter codes and other abbreviations, please see the section entitled "Guidance Notes on Codes and Abbreviations" at the beginning of every regular edition of the PCT gazette.***(54) Title: EXTRUSION DIE HAVING AT LEAST ONE FLEXIBLE LIP ELEMENT****(57) Abstract:** The invention relates to an extrusion die having at least one flexible lip element (1, 2) for discharging extruded material from a gap (S), the flow cross section of which can be modified. The invention is characterized in that at least one flexible lip element (2) can be moved relative to the other lip element (1) by means of a plurality of jointly actuatable lever elements (8).

503 459 4142

P30131 RT-US

DECLARATION FOR PATENT APPLICATION (Foreign Agent involved)

Docket Number WBA05302

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **EXTRUSION DIE HAVING AT LEAST ONE FLEXIBLE LIP ELEMENT**, the specification of which:

☒ is attached hereto

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and also have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application

103281045

(Number)

Germany

(Country)

20/06/2003

(Day/Month/Year Filed)

Priority Not Claimed

☐

PCT/EP2004/002782

(Number)

PCT

(Country)

18/03/2004

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefits under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

The undersigned hereby authorizes the U.S. attorneys and agents named herein to accept and follow instructions from the law firm of Dr. Weiss, Brecht, Arat as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys and agents named herein will be so notified by the undersigned.

I hereby appoint attorneys and agents associated with Alleman Hall McCoy Russell & Tuttle LLP, Customer Number 50488, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. These attorneys and agents now include Mark D. Alleman, Reg. No. 42,257; M. Matthews Hall, Reg. No. 43,653; Christopher S. Tuttle, Reg. No. 41,357; B. Anna McCoy, Reg. No. 46,877; John D. Russell, Reg. No. 47,848; Jason C. Cressman, Reg. No. 51,587; Amber X. Sun, Reg. No. 55,655. Address all telephone calls to M. Matthews Hall at telephone number (503) 459-4141. Address all correspondence to M. Matthews Hall at Alleman Hall McCoy Russell & Tuttle LLP, 806 SW Broadway, Suite 600, Portland, Oregon 97205.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor (given name, family name) Gerhard Vogelbacher

Inventor's signature *G. Vogelbacher*

Date 12. 12. 05

Residence Singen GERMANY

Citizenship Germany

Address 75, Am Posthalterswilde, 78224 Singen, GERMANY

Full name of second inventor (given name, family name) Hubertus Barthel

Inventor's signature *H. Barthel*

Date 12. 12. 05

Residence Volkertshausen, GERMANY

Citizenship Germany

Address 18, Kastanienweg, 78269 Volkertshausen, GERMANY

Full name of third inventor (given name, family name) Van Thinh Pham

Inventor's signature *Pham van Thinh*

Date 12. 12. 05

Residence Konstanz, GERMANY

Citizenship Germany

Address 19, Bleicherweg, 78463 Konstanz, GERMANY

☐ Additional inventors are being named on separately numbered sheets attached hereto.